SEC Form 4
FORM

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB .	APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

transaction w contract, instr for the purcha securities of t intended to s	ox to indicate that a as made pursuant to ruction or written plar ase or sale of equity he issuer that is atisfy the affirmative litions of Rule 10b5- ruction 10.					
1. Name and Ad ALBA MA	dress of Reporting	Person [*]	2. Issuer Name and Ticker or Trading Symbol Astera Labs, Inc. [ALAB]		tionship of Reporting P all applicable) Director	erson(s) to Issuer 10% Owner
					Officer (give title	Other (specify
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/07/2024		below)	below)
C/O ASTERA	A LABS, INC.		11/07/2021			
2901 TASMA	N DRIVE, SUI	TE 205				
,			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Fil	ing (Check Applicable
(Street)				Line)	Form filed by One Re	porting Person
SANTA	СА	95054		v	Form filed by More th	
CLARA		20001			Person	an one reporting
(City)	(State)	(Zip)	_			

Table I - Non-Derivative Securities Acquired, Disposed	d of, or Beneficially Owned
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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of	Acquire (D) (Inst	d (A) or tr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock	11/07/2024		S ⁽¹⁾		1,966	D	\$ 94.78 ⁽²⁾	496,897	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		1,180	D	\$ 96.0351 ⁽⁴⁾	495,717	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		3,261	D	\$ 96.9547 ⁽⁵⁾	492,456	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		3,478	D	\$ 98.1542 ⁽⁶⁾	488,978	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		1,996	D	\$ 98.8641 ⁽⁷⁾	486,982	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		119	D	\$ 99.6124 ⁽⁸⁾	486,863	Ι	By Casa Alameda 2007, LLC ⁽³⁾
Common Stock	11/07/2024		S ⁽¹⁾		8,354	D	\$ 94.7801 ⁽²⁾	2,226,144	I	By Alba Trust ⁽⁹⁾
Common Stock	11/07/2024		S ⁽¹⁾		5,015	D	\$ 96.0351 ⁽⁴⁾	2,221,129	I	By Alba Trust ⁽⁹⁾
Common Stock	11/07/2024		S ⁽¹⁾		13,858	D	\$ 96.9547 ⁽⁵⁾	2,207,271	Ι	By Alba Trust ⁽⁹⁾
Common Stock	11/07/2024		S ⁽¹⁾		14,781	D	\$ 98.1541 ⁽⁶⁾	2,192,490	Ι	By Alba Trust ⁽⁹⁾
Common Stock	11/07/2024		S ⁽¹⁾		8,486	D	\$ 98.8642 ⁽⁷⁾	2,184,004	I	By Alba Trust ⁽⁹⁾

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock	11/07/2024		S ⁽¹⁾		506	D	\$ 99.6128 ⁽⁸⁾	2,183,498	Ι	By Alba Trust ⁽⁹⁾		
Common Stock								5,000	Ι	By spouse		
Common Stock	11/08/2024		S ⁽¹⁾		3,000	D	\$94.7404	483,863	Ι	By Casa Alameda 2007, LLC ⁽³⁾		
Common Stock	11/08/2024		S ⁽¹⁾		9,000	D	\$94.7404	2,174,498	Ι	By Alba Trust ⁽⁹⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	e, Transaction Code (Instr. 1 ar) 8)		Code (Instr.		Transaction Code (Instr.		of		Expiration Date (Month/Day/Year) urities quired or posed (D))		Expiration Date		Expiration Date Amount of (Month/Day/Year) Securities Underlying Derivative			Securities Underlying Derivative Security (Instr.		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)			Expiration Date	Title	Amount or Number of Shares													

Explanation of Responses:

1. The sales reported in this Form 4 occurred automatically pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 31, 2024.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$94.4900 to \$95.4800, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. These shares are owned directly by Casa Alameda 2007, LLC, of which the Reporting Person is manager. The Reporting Person disclaims beneficial ownership of these securities, except to the extent, if any, of his pecuniary interest therein, and the filing of this Form 4 is not an admission that the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$95.5200 to \$96.5100, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$96.5200 to \$97.5000, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

6. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$97.5300 to \$98.5200, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

7. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$98.5300 to \$99.4600, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

8. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$99.5300 to \$99.7400, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

9. These shares are owned directly by Manuel Alba-Marquez in trust for Alba 2003 Living Trust (the "Alba Trust"), of which the Reporting Person and his spouse are co-trustees. The Reporting Person disclaims beneficial ownership of these securities, except to the extent, if any, of his pecuniary interest therein, and the filing of this Form 4 is not an admission that the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

/s/ Philip Mazzara, Attorney-

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

11/12/2024